

## Lecture 1 of 41

# Computer Graphics (CG) Basics: Transformation Matrices & Coordinate Systems

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KSOL course pages: <http://bit.ly/hGvXIH> / <http://bit.ly/vizrE>  
Public mirror web site: <http://www.kddresearch.org/Courses/CIS636>  
Instructor home page: <http://www.cis.ksu.edu/~bhsu>

Readings:  
Wikipedia: vectors (<http://bit.ly/eBr109>), matrices (<http://bit.ly/fwpDwd>)  
Sections 2.1 – 2.2, 13.2, 14.1 – 14.4, 17.1, Eberly 2<sup>e</sup> – see <http://bit.ly/ieUq45>  
Appendices 1-4, Foley, J. D., VanDam, A., Feiner, S. K., & Hughes, J. F. (1991). *Computer Graphics, Principles and Practice, Second Edition in C*.  
McCauley (Senocaul.com) tutorial: <http://bit.ly/ZyNPD>

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## Lecture Outline

- **CG Basics 1: Basic Precalculus and Linear Algebra for CG**
  - \* Matrices and vectors: definitions, basic operations
  - \* Vector spaces and affine spaces
  - \* Translation, Rotation, Scaling aka T, R, S transformations
  - \* Parametric equations (of lines, rays, line segments)
- **Importance to Computer Graphics**
  - \* Points as vectors, transformation matrices
  - \* Homogeneous coordinates
  - \* TRS in viewing/normalizing transformation
  - \* Intersections: clipping, ray tracing, etc.
- **Looking Forward**
  - \* The week ahead: Viewing (Part 1 of 4), Lab 0
  - \* Lab exercise: C/Linux, basic OpenGL setup (see KSOL)

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## Where We Are

Lecture	Topic	Primary Source(s)
0	Course Overview	Chapter 1, Eberly 2 <sup>e</sup>
1	<b>CG Basics: Transformation Matrices; Lab 0</b>	Sections (6) 2.1, 2.2
2	Viewing 1: Overview, Projectors	§ 2.3 – 2.4, 2.8
3	Viewing 2: Viewing Transformation	§ 2.3 esp. 2.3.4; FVFH slides
4	<b>Lab 1a: Flash &amp; OpenGL Basics</b>	Ch. 2, 16 <sup>e</sup> ; <i>Angel's Primer</i>
5	Viewing 3: Graphics Pipeline	§ 2.3 esp. 2.3.7; 2.5, 2.7
6	Scan Conversion 1: Lines, Midpoint Algorithm	§ 2.5.1, 3.1; FVFH slides
7	<b>Viewing 4: Clipping &amp; Culling; Lab 1b</b>	§ 2.5.2, 2.4, 3.1, 3
8	Scan Conversion 2: Polygons, Clipping Intro	§ 2.4, 2.5 esp. 2.5.4, 3.1, 6
9	Surface Detail 1: Illumination & Shading	§ 2.5, 2.6.1 – 2.6.2, 4.3.2, 20.2
10	<b>Lab 2a: Direct3D / DirectX Intro</b>	§ 2.7; <i>Direct3D</i> handout
11	Surface Detail 2: Textures, OpenGL Shading	§ 2.6.3, 20.3 – 20.4, <i>Primer</i>
12	Surface Detail 3: Mappings, OpenGL Textures	§ 20.5 – 20.13
13	<b>Surface Detail 4: Pixel/Vertex Shad.; Lab 2b</b>	§ 3.1
14	Surface Detail 5: Direct3D Shading; OpenGL	§ 3.2 – 3.4; <i>Direct3D</i> handout
15	Demos 1: CGA, Fun; Scene Graphs; State	§ 4.1 – 4.3; <i>CGA</i> handout
16	<b>Lab 3a: Shading &amp; Transparency</b>	§ 2.6, 20.1, <i>Primer</i>
17	<b>Animation 1: Basics, Keyframes; HW/Exam</b>	§ 5.1 – 5.2
18	<b>Exam 1 review: Hour Exam 1 (evening)</b>	Chapters 1 – 4, 20
19	Scene Graphs: Rendering; Lab 3b: Shader	§ 4.4 – 4.7
20	<b>Demos 3: Surfaces; B-reps/Volume-Graphics</b>	§ 8.3 – 8.5; <i>CGA</i> handout
20		§ 10.4, 12.7, <i>Mesh</i> handout

Lightly-shaded entries denote the due date of a written problem set; heavily-shaded entries, that of a machine problem (programming assignment); blue-shaded entries, that of a paper review, and the green-shaded entry, that of the term project.  
Green, blue and red letters denote exam review, exam, and exam solution review dates.

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## Online Recorded Lectures for CIS 536/636 (Intro to CG)

- Project Topics for CIS 536/636
- Computer Graphics Basics (10)
  - \* 1. Mathematical Foundations – Week 1 - 2
  - \* 2. OpenGL Primer 1 of 3: Basic Primitives and 3-D – Weeks 2-3
  - \* 3. Detailed Introduction to Projections and 3-D Viewing – Week 3
  - \* 4. Fixed-Function Graphics Pipeline – Weeks 3-4
  - \* 5. Rasterizing (Lines, Polygons, Circles, Ellipses) and Clipping – Week 4
  - \* 6. Lighting and Shading – Week 5
  - \* 7. OpenGL Primer 2 of 3: Boundaries (Meshes), Transformations – Weeks 5-6
  - \* 8. Texture Mapping – Week 6
  - \* 9. OpenGL Primer 3 of 3: Shading and Texturing, VBOs – Weeks 6-7
  - \* 10. Visible Surface Determination – Week 8
- Recommended Background Reading for CIS 636
- Shared Lectures with CIS 736 (*Computer Graphics*)
  - \* Regular in-class lectures (30) and labs (7)
  - \* Guidelines for paper reviews – Week 6
  - \* Preparing term project presentations, CG demos – Weeks 11-12

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## Background Expected

- **Both Courses**
  - \* Proficiency in C/C++ or strong proficiency in Java and ability to learn
  - \* Strongly recommended: matrix theory or linear algebra (e.g., Math 551)
  - \* At least 120 hours for semester (up to 150 depending on term project)
  - \* Textbook: *3D Game Engine Design, Second Edition* (2006), Eberly
  - \* Angel's *OpenGL: A Primer* recommended
- **CIS 536 & 636 Introduction to Computer Graphics**
  - \* Fresh background in precalculus: Algebra 1-2, Analytic Geometry
  - \* Linear algebra basics: matrices, linear bases, vector spaces
  - \* Watch background lectures
- **CIS 736 Computer Graphics**
  - \* Recommended: first course in graphics (background lectures as needed)
  - \* OpenGL experience helps
  - \* Read up on shaders and shading languages
  - \* Watch advanced topics lectures; see list [before choosing project topic](#)

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## Matrix and Vector Notation

- **Vector: Geometric Object with Length (Magnitude), Direction**
- **Vector Notation (General Form)**
  - \* Row vector  $\mathbf{v} = (v_1, v_2, \dots, v_{n-1}, v_n)$
  - \* Column vector  $\mathbf{v} = \begin{bmatrix} v_1 \\ v_2 \\ \vdots \\ v_{n-1} \\ v_n \end{bmatrix}$
- **Coordinates in  $\mathbb{R}^3$  (Euclidean Space)**
  - \* Cartesian (see <http://bit.ly/fsz1UC>)  $\mathbf{a} = \{a_x, a_y, a_z\}$ .
  - \* Cylindrical (see <http://bit.ly/gt5v3u>)  $\mathbf{v} = (r, \theta, h)$
  - \* Spherical (see <http://bit.ly/f4CvMZ>)  $\mathbf{v} = (\rho, \theta, \phi)$
- **Matrix: Rectangular Array of Numbers**

$$\mathbf{A} = \begin{bmatrix} 0 & -1 & -2 & -3 \\ 1 & 0 & -1 & -2 \\ 2 & 1 & 0 & -1 \end{bmatrix}$$

Wikipedia: Matrix (mathematics)  
<http://bit.ly/fwpDwd>

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## Vector Operations: Dot & Cross Product, Arithmetic

- Dot Product aka Inner Product aka Scalar Product**

$$\mathbf{a} \cdot \mathbf{b} = \sum_{i=1}^n a_i b_i = a_1 b_1 + a_2 b_2 + \dots + a_n b_n$$
- $$\mathbf{a} \times \mathbf{b} = \begin{vmatrix} \mathbf{i} & \mathbf{j} & \mathbf{k} \\ a_1 & a_2 & a_3 \\ b_1 & b_2 & b_3 \end{vmatrix}$$

$$\mathbf{a} \times \mathbf{b} = \begin{vmatrix} a_2 & a_3 \\ b_2 & b_3 \end{vmatrix} \mathbf{i} - \begin{vmatrix} a_1 & a_3 \\ b_1 & b_3 \end{vmatrix} \mathbf{j} + \begin{vmatrix} a_1 & a_2 \\ b_1 & b_2 \end{vmatrix} \mathbf{k}$$

$$\mathbf{a} \times \mathbf{b} = i a_2 b_3 + j a_3 b_1 + k a_1 b_2 - i a_3 b_2 - j a_1 b_3 - k a_2 b_1$$
- $$c\mathbf{v} = c \begin{bmatrix} v_1 \\ v_2 \\ v_3 \end{bmatrix} = \begin{bmatrix} c v_1 \\ c v_2 \\ c v_3 \end{bmatrix}$$

$$\mathbf{u} + \mathbf{v} = \begin{bmatrix} u_1 \\ u_2 \\ u_3 \end{bmatrix} + \begin{bmatrix} v_1 \\ v_2 \\ v_3 \end{bmatrix} = \begin{bmatrix} u_1 + v_1 \\ u_2 + v_2 \\ u_3 + v_3 \end{bmatrix}$$

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## Matrix Operations [2]: Addition & Multiplication

- Scalar Multiplication, Transpose**

$$2 \cdot \begin{bmatrix} 1 & 8 & -3 \\ 4 & -2 & 5 \end{bmatrix} = \begin{bmatrix} 2 \cdot 1 & 2 \cdot 8 & 2 \cdot (-3) \\ 2 \cdot 4 & 2 \cdot (-2) & 2 \cdot 5 \end{bmatrix} = \begin{bmatrix} 2 & 16 & -6 \\ 8 & -4 & 10 \end{bmatrix} \quad \begin{bmatrix} 1 & 2 & 3 \\ 0 & -6 & 7 \end{bmatrix}^T = \begin{bmatrix} 1 & 0 \\ 2 & -6 \\ 3 & 7 \end{bmatrix}$$
- $$\begin{bmatrix} 1 & 3 & 1 \\ 1 & 0 & 0 \end{bmatrix} + \begin{bmatrix} 0 & 0 & 5 \\ 7 & 5 & 0 \end{bmatrix} = \begin{bmatrix} 1+0 & 3+0 & 1+5 \\ 1+7 & 0+5 & 0+0 \end{bmatrix} = \begin{bmatrix} 1 & 3 & 6 \\ 8 & 5 & 0 \end{bmatrix}$$
- Matrix Multiplication**

$$A = \begin{bmatrix} a_{1,1} & a_{1,2} & \dots & a_{1,n} \\ \vdots & \vdots & \dots & \vdots \\ a_{m,1} & a_{m,2} & \dots & a_{m,n} \end{bmatrix} = \begin{bmatrix} A_1 \\ A_2 \\ \vdots \\ A_m \end{bmatrix} \quad B = \begin{bmatrix} b_{1,1} & \dots & b_{1,p} \\ b_{2,1} & \dots & b_{2,p} \\ \vdots & \dots & \vdots \\ b_{n,1} & \dots & b_{n,p} \end{bmatrix} = \begin{bmatrix} B_1 & B_2 & \dots & B_p \end{bmatrix}$$

$$A_i = [a_{i,1} \ a_{i,2} \ \dots \ a_{i,n}] \quad B_i = [b_{1,i} \ b_{2,i} \ \dots \ b_{n,i}]^T$$

$$AB = \begin{bmatrix} A_1 \\ A_2 \\ \vdots \\ A_m \end{bmatrix} \begin{bmatrix} B_1 & B_2 & \dots & B_p \end{bmatrix} = \begin{bmatrix} (A_1 \cdot B_1) & (A_1 \cdot B_2) & \dots & (A_1 \cdot B_p) \\ (A_2 \cdot B_1) & (A_2 \cdot B_2) & \dots & (A_2 \cdot B_p) \\ \vdots & \vdots & \dots & \vdots \\ (A_m \cdot B_1) & (A_m \cdot B_2) & \dots & (A_m \cdot B_p) \end{bmatrix}$$

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## Linear Systems of Equations

- Definition: Linear System of Equations (LSE)**
  - Collection of linear equations (see <http://bit.ly/dNa2MO>)
  - Each of form  $a_1 x_1 + a_2 x_2 + \dots + a_n x_n = b_i$
  - System shares same set of variables  $x_i$
$$\begin{matrix} a_{11}x_1 + a_{12}x_2 + \dots + a_{1n}x_n = b_1 \\ a_{21}x_1 + a_{22}x_2 + \dots + a_{2n}x_n = b_2 \\ \vdots \\ a_{m1}x_1 + a_{m2}x_2 + \dots + a_{mn}x_n = b_m \end{matrix}$$
- Example**
  - 3 equations in 3 unknown
 
$$\begin{matrix} 3x + 2y - z = 1 \\ 2x - 2y + 4z = -2 \\ -x + \frac{1}{2}y - z = 0 \end{matrix}$$
  - Solution
 
$$\begin{matrix} x = 1 \\ y = -2 \\ z = -2 \end{matrix}$$

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## Vector Spaces and Affine Spaces

- Vector Space: Set of Points with Addition, Multiplication by Constant**
  - Components
    - Set  $V$  of vectors  $u, v, w$  over which addition, scalar multiplication defined
    - Vector addition:  $v + w$
    - Scalar multiplication:  $\alpha v$
  - Properties (necessary and sufficient conditions)
    - Addition: associative, commutative, identity ( $0$  vector such that  $\forall v. v + 0 = v$ ), admits inverses ( $\forall v. \exists w. v + w = 0$ )
    - Scalar multiplication: satisfies  $\forall \alpha, \beta, v. (\alpha\beta)v = \alpha(\beta v), \forall v. 1v = v, \forall \alpha, \beta, v. (\alpha + \beta)v = \alpha v + \beta v, \forall \alpha, \beta, v. \alpha(v + w) = \alpha v + \alpha w$
    - Linear combination:  $\alpha_1 v_1 + \alpha_2 v_2 + \dots + \alpha_n v_n$
- Affine Space: Set of Points with Geometric Operations (No "Origin")**
  - Components
    - Set  $V$  of points  $P, Q, R$  and associated vector space
    - Operators: vector difference, point-vector addition
  - Affine combination (of  $P$  and  $Q$  by  $t \in \mathbb{B}$ ):  $P + t(Q - P)$
  - NB: for any vector space  $(V, +, \cdot)$  there exists affine space (points  $(V), V$ )

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## Linear and Planar Equations in Affine Spaces

- Equation of Line in Affine Space**
  - Let  $P, Q$  be points in affine space
  - Parametric form (real-valued parameter  $t$ )
    - Set of points of form  $(1 - t)P + tQ$
    - Forms line passing through  $P$  and  $Q$
  - Example
    - Cartesian plane of points  $(x, y)$  is an affine space
    - Parametric line between  $(a, b)$  and  $(c, d)$ :
 
$$L = \{((1 - t)a + tc, (1 - t)b + td) \mid t \in \mathbb{R}\}$$
- Equation of Plane in Affine Space**
  - Let  $P, Q, R$  be points in affine space
  - Parametric form (real-valued parameters  $s, t$ )
    - Set of points of form  $(1 - s)((1 - t)P + tQ) + sR$
    - Forms plane containing  $P, Q, R$

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## Vector Space Spans and Affine Spans

- Vector Space Span**
  - Definition – set of all linear combinations of a set of vectors
  - Example: vectors in  $\mathbb{B}^3$ 
    - Span of single (nonzero) vector  $v$ : line through the origin containing  $v$
    - Span of pair of (nonzero, noncollinear) vectors: plane through the origin containing both
    - Span of 3 of vectors in general position: all of  $\mathbb{B}^3$
- Affine Span**
  - Definition – set of all affine combinations of a set of points  $P_1, P_2, \dots, P_n$  in an affine space
  - Example: vectors, points in  $\mathbb{B}^3$ 
    - Standard affine plan of points  $(x, y, 1)^T$
    - Consider points  $P, Q$
    - Affine span: line containing  $P, Q$
    - Also intersection of span, affine space

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## Subspaces

- **Intuitive Idea**
  - \*  $\mathbb{R}^n$ : vector or affine space of "equal or lower dimension"
  - \* Closed under constructive operator for space
- **Linear Subspace**
  - \* **Definition**
    - ⇒ Subset  $S$  of vector space  $(V, +, \cdot)$
    - ⇒ Closed under addition  $(+)$  and scalar multiplication  $(\cdot)$
  - \* **Examples**
    - ⇒ Subspaces of  $\mathbb{R}^3$ : origin  $(0, 0, 0)$ , line through the origin, plane containing origin,  $\mathbb{R}^3$  itself
    - ⇒ For vector  $v$ ,  $\{\alpha v \mid \alpha \in \mathbb{R}\}$  is a subspace (why?)
- **Affine Subspace**
  - \* **Definition**
    - ⇒ Nonempty subset  $S$  of vector space  $(V, +, \cdot)$
    - ⇒ **Closure**  $S'$  of  $S$  under point subtraction is a linear subspace of  $V$
  - \* **Important affine subspace of  $\mathbb{R}^3$** :  $\{(x, y, z, 1)\}$
  - \* **Foundation of homogeneous coordinates, 3-D transformations**

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## Bases

- **Spanning Set (of Set  $S$  of Vectors)**
  - \* **Definition**: set of vectors for which any vector in  $\text{Span}(S)$  can be expressed as linear combination of vectors in spanning set
  - \* Intuitive idea: spanning set "covers"  $\text{Span}(S)$
- **Basis (of Set  $S$  of Vectors)**
  - \* **Definition**
    - ⇒ Minimal spanning set of  $S$
    - ⇒ **Minimal**: any smaller set of vectors has smaller span
  - \* **Alternative definition**: linearly independent spanning set
- **Exercise**
  - \* **Claim**: basis of subspace of vector space is always linearly independent
  - \* **Proof**: by contradiction (suppose basis is dependent ... not minimal)
- **Standard Basis for  $\mathbb{R}^3$** :  $i, j, k$ 
  - \*  $E = \{e_1, e_2, e_3\}$ ,  $e_1 = (1, 0, 0)^T$ ,  $e_2 = (0, 1, 0)^T$ ,  $e_3 = (0, 0, 1)^T$
  - \* **How to use this as coordinate system?**

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## Coordinates and Coordinate Systems

- **Coordinates Using Bases**
  - \* **Coordinates**
    - ⇒ Consider basis  $B = \{v_1, v_2, \dots, v_n\}$  for vector space
    - ⇒ Any vector  $v$  in the vector space can be expressed as linear combination of vectors in  $B$
    - ⇒ **Definition**: coefficients of linear combination are coordinates
  - \* **Example**
    - ⇒  $E = \{e_1, e_2, e_3\}$ ,  $i = e_1 = (1, 0, 0)^T$ ,  $j = e_2 = (0, 1, 0)^T$ ,  $k = e_3 = (0, 0, 1)^T$
    - ⇒ Coordinates of  $(a, b, c)$  with respect to  $E$ :  $(a, b, c)^T$
- **Coordinate System**
  - \* **Definition**: set of independent points in affine space
  - \* **Affine span** of coordinate system is entire affine space
- **Exercise**
  - \* Derive basis for associated vector space of arbitrary coordinate system
  - \* (Hint: consider definition of affine span ...)

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## Using the Dot Product: Length/Norm & Distance

- **Length**
  - \* **Definition**
    - ⇒  $\|v\| = \sqrt{v \cdot v}$
    - ⇒  $v \cdot v = \sum_i v_i^2$
  - \* aka **Euclidean norm**
- **Applications of the Dot Product**
  - \* **Normalization of vectors**: division by scalar length  $\|v\|$  converts to **unit vector**
  - \* **Distances**
    - ⇒ **Between points**:  $\|Q - P\|$
    - ⇒ **From points to planes**
  - \* **Generating equations (e.g., point loci)**: circles, hollow cylinders, etc.
  - \* **Ray / object intersection equations**
  - \* See A.3.5, FVD

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## Orthonormal Bases

- **Orthogonality**
  - \* **Given**: vectors  $u = (u_1, u_2, \dots, u_n)^T$ ,  $v = (v_1, v_2, \dots, v_n)^T$
  - \* **Definition**
    - ⇒  $u, v$  are **orthogonal** if  $u \cdot v = 0$
    - ⇒ In  $\mathbb{R}^2$ , angle between orthogonal vectors is  $90^\circ$
- **Orthonormal Bases**
  - \* **Necessary and sufficient conditions**
    - ⇒  $B = \{b_1, b_2, \dots, b_n\}$  is basis for given vector space
    - ⇒ Every pair  $(b_i, b_j)$  is orthogonal
    - ⇒ Every vector  $b_i$  is of unit magnitude ( $\|v_i\| = 1$ )
  - \* **Convenient property**: can just take dot product  $v \cdot b_i$  to find coefficients in linear combination (coordinates with respect to  $B$ ) for vector  $v$

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## Cumulative Transformation Matrices: Basic T, R, S

- **T: Translation** (see [http://en.wikipedia.org/wiki/Translation\\_matrix](http://en.wikipedia.org/wiki/Translation_matrix))
  - \* **Given**
    - ⇒ Point to be moved – e.g., vertex of polygon or polyhedron
    - ⇒ Displacement vector (also represented as point)
  - \* **Return**: new, displaced (translated) point of **rigid body**
- **R: Rotation** (see [http://en.wikipedia.org/wiki/Rotation\\_matrix](http://en.wikipedia.org/wiki/Rotation_matrix))
  - \* **Given**
    - ⇒ Point to be rotated about axis
    - ⇒ Axis of rotation
    - ⇒ Degrees to be rotated
  - \* **Return**: new, displaced (rotated) point of rigid body
- **S: Scaling** (see [http://en.wikipedia.org/wiki/Scaling\\_matrix](http://en.wikipedia.org/wiki/Scaling_matrix))
  - \* **Given**
    - ⇒ Set of points centered at origin
    - ⇒ Scaling factor
  - \* **Return**: new, displaced (scaled) point
- **General**: [http://en.wikipedia.org/wiki/Transformation\\_matrix](http://en.wikipedia.org/wiki/Transformation_matrix)

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## Translation

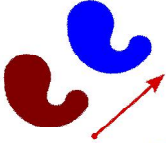
- Rigid Body Transformation
- To Move p Distance and Magnitude of Vector v:

$$T_v p = \begin{bmatrix} 1 & 0 & 0 & v_x \\ 0 & 1 & 0 & v_y \\ 0 & 0 & 1 & v_z \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} p_x \\ p_y \\ p_z \\ 1 \end{bmatrix} = \begin{bmatrix} p_x + v_x \\ p_y + v_y \\ p_z + v_z \\ 1 \end{bmatrix} = p + v.$$

- Invertibility

$$T_v^{-1} = T_{-v}.$$

- Compositionality

$$T_u T_v = T_{u+v}.$$


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## Rotation

- Rigid Body Transformation
- Properties: Inverse = Transpose

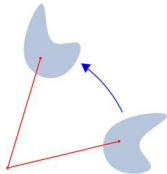
$$Q^T Q = I = Q Q^T$$

$$\det Q = +1$$

- Idea: Define New (Relative) Coordinate System
- Example

$$Q = \begin{bmatrix} 0.6 & -0.8 & 0 \\ 0.8 & 0.6 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

- Rotations about x, y, and z Axes (using Plain 3-D Coordinates)

$$Q_x(\theta) = \begin{bmatrix} 1 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta \\ 0 & \sin \theta & \cos \theta \end{bmatrix}, \quad Q_y(\theta) = \begin{bmatrix} \cos \theta & 0 & \sin \theta \\ 0 & 1 & 0 \\ -\sin \theta & 0 & \cos \theta \end{bmatrix}, \quad Q_z(\theta) = \begin{bmatrix} \cos \theta & -\sin \theta & 0 \\ \sin \theta & \cos \theta & 0 \\ 0 & 0 & 1 \end{bmatrix}$$



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## Rotation as Change of Basis

- 3 x 3 rotation matrices
- 3 x 3 matrices that "rotate" world (leaving out w for simplicity)
- 3 unit vectors originally along x, y, z axes: moved to new positions
- Because of rigid-body rotation, new vectors are still:
  - \* unit vectors
  - \* perpendicular to each other
  - \* compliant with "right hand rule"
- Any such matrix transformation = rotation
  - \* about some axis
  - \* by some amount
- Let's call these x, y, and z-axis-aligned unit vectors  $e_1, e_2, e_3$
- Writing out (these are also called  $i, j, k$ ):

$$e_1 = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}, \quad e_2 = \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}, \quad e_3 = \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}$$


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## Scaling

- Not Rigid Body Transformation
- Idea: Move Points Toward/Away from Origin

$$S_x p = \begin{bmatrix} s_x & 0 & 0 & 0 \\ 0 & s_y & 0 & 0 \\ 0 & 0 & s_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} p_x \\ p_y \\ p_z \\ 1 \end{bmatrix} = \begin{bmatrix} s_x p_x \\ s_y p_y \\ s_z p_z \\ 1 \end{bmatrix}$$

Results of glScalef(2.0, -0.5, 1.0)  
© 1993 Neider, Davis, Woo  
<http://fly.cc.ferr.hr/~unreal/theredbook/>

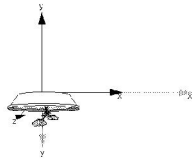
- Homogeneous Coordinates Make It Easier

$$S_x p = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & \frac{1}{s_x} \end{bmatrix} \begin{bmatrix} p_x \\ p_y \\ p_z \\ 1 \end{bmatrix} = \begin{bmatrix} p_x \\ p_y \\ p_z \\ \frac{1}{s_x} \end{bmatrix}$$

- Result

$$\begin{bmatrix} s p_x \\ s p_y \\ s p_z \\ 1 \end{bmatrix}$$

- Ratio Need Not Be Uniform in x, y, z



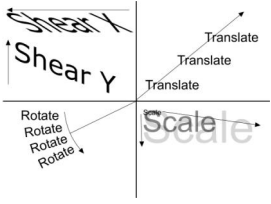
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## Other Transformations

- Shear aka Skew (<http://bit.ly/hZfx3W>): "Tilting", Oblique Projection
- Perspective to Parallel View Volume ("D" in Foley et al.)
- See also
  - \* [http://en.wikipedia.org/wiki/Transformation\\_matrix](http://en.wikipedia.org/wiki/Transformation_matrix)
  - \* <http://www.senocular.com/flash/tutorials/transmatrix/>



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<http://www.bobpowell.net/transformations.htm>

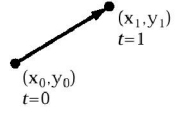
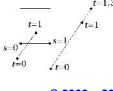
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## Parametric Equation of a Line Segment

- Parametric form for line segment
  - \*  $X = x_0 + t(x_1 - x_0) \quad 0 \leq t \leq 1$
  - \*  $Y = y_0 + t(y_1 - y_0)$
  - \*  $P(t) = P_0 + t(P_1 - P_0)$
- Line in general:  $t \in [-\infty, \infty]$
- Later: used for clipping (other intersection calculations)

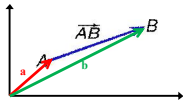
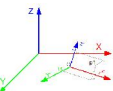
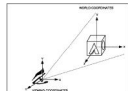



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## Importance to CG [1]: Vectors and Matrices

- Points as Vectors (w.r.t. Origin)
 
- Local Coordinate Systems (Spaces)
 


© 2009 Koen Samyn  
<http://knol.google.com/k/matrices-for-3d-applications-view-transformation>

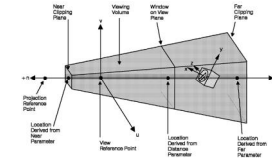
© 2007 IBM  
<http://bit.ly/cS4h7g>

- Modelview transformation (MVT): model coordinates to world coordinates
- Viewing transformation: world coordinates to camera coordinates
- Several more to be covered in this course

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## Importance to CG [2]: Homogeneous Coordinates

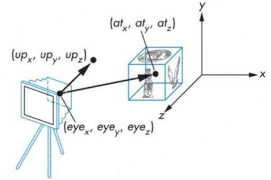
- Problem: Need to Support Non-Linear Transformations
  - Affine but not linear: e.g., translation
  - Non-affine projections: e.g., perspective
- Solution: Use 4<sup>th</sup> Coordinate w
  - Coordinates look like:  $(x, y, z, w)^T$  with  $w$  kept normalized to 1
  - Homogeneous coordinates (Wikipedia: <http://bit.ly/IG7RSk>)
  - Specific case: barycentric (defined w.r.t. simplex, e.g., polygon) [http://en.wikipedia.org/wiki/Barycentric\\_coordinates\\_\(mathematics\)](http://en.wikipedia.org/wiki/Barycentric_coordinates_(mathematics)).

The OpenGL Programming Interface: Understanding Concepts © 2007 IBM <http://bit.ly/cS4h7g>

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## Importance to CG [3]: T, R, S in Viewing Transformation

- Want to
  - Specify arbitrary (user-defined) camera view (camera space aka CS)
  - Take picture of standard world space (WS), from eye point towards at point
- Need to: Map CS to WS (Normalizing Transformation)
 

```

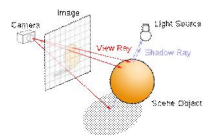
      Gvoid glutlookat( Gdouble eyeX, Gdouble eyeY, Gdouble eyeZ,
                    Gdouble centerX, Gdouble centerY, Gdouble centerZ,
                    Gdouble upX, Gdouble upY, Gdouble upZ)
      
```

© 2008 Roberto Toledo  
<http://bit.ly/hvAZAe>

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## Importance to CG [4]: Intersections, Clipping


- Problem: Need to Find Intersection between Objects
  - Clipping: line segments – edge of polygon (model) with clip edge
  - Ray tracing: ray – from eye, through “screen” pixel, into scene
- Solution: Represent Objects using Parametric Equations
  - Moving object or object being traced (e.g., ray):  $P(t)$
  - Find point where  $P(t) = Q$  (boundary of second object)
  - May have multiple solutions (as polynomials may have > 1 zero)
  - Usually want closest one

© 2011 Wikipedia  
[http://en.wikipedia.org/wiki/Ray\\_tracing\\_\(graphics\)](http://en.wikipedia.org/wiki/Ray_tracing_(graphics))


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## Textbook and Recommended Books



1<sup>st</sup> edition (outdated)



2<sup>nd</sup> edition

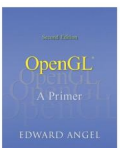
**Required Textbook**

Eberly, D. H. (2006). *3D Game Engine Design: A Practical Approach to Real-Time Computer Graphics*, second edition. San Francisco, CA: Morgan Kaufman.


**Recommended References**

Angel, E. O. (2007). *OpenGL: A Primer*, third edition. Reading, MA: Addison-Wesley. [2<sup>nd</sup> edition on reserve]

Shreiner, D., Woo, M., Neider, J., & Davis, T. (2009). *OpenGL® Programming Guide: The Official Guide to Learning OpenGL®, Versions 3.0 and 3.1, seventh edition*.  
[“The Red Book”]; use 7<sup>th</sup> ed. or later]



2<sup>nd</sup> edition (OK to use)



3<sup>rd</sup> edition

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## Lab 0

- Warm-Up Lab
  - Account set-up
  - Linux environment
  - Simple OpenGL exercise
- Basic Account Set-Up
  - See <http://support.cis.ksu.edu> to understand KSU Department of CIS setup
  - Make sure your CIS department account is set up
  - If not, use SelfServ: <https://selfserv.cis.ksu.edu/selfserv/requestAccount>
- Linux Environment
  - Make sure your CIS department account is set up
  - Learn how to navigate, set your shell (see KSOL, <http://unixhelp.ed.ac.uk>)
  - Lab 1 and first homeworks will ask you to render to local XWindows server
- Simple OpenGL exercise
  - Watch OpenGL Primer Part 1 as needed
  - Follow intro tutorials on “NeHe” (<http://nehe.gamedev.net>) as instructed
  - Turn in: source code, screenshot as instructed in Lab 0 handout

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## Summary

- **Cumulative Transformation Matrices (CTM): T, R, S**
  - \* Translation
  - \* Rotation
  - \* Scaling
  - \* Setup for Shear/Skew, Perspective to Parallel – see Eberly, Foley *et al.*
- “Matrix Stack” in OpenGL: Premultiplication of Matrices
- Coming Up
  - \* Parametric equations in clipping
  - \* Intersection testing: ray-cube, ray-sphere, implicit equations (ray tracing)
- **Homogeneous Coordinates: What Is That 4<sup>th</sup> Coordinate?**
  - \* [http://en.wikipedia.org/wiki/Homogeneous\\_coordinates](http://en.wikipedia.org/wiki/Homogeneous_coordinates)
  - \* Crucial for ease of normalizing T, R, S transformations in graphics
  - \* See: Slide 14 of this lecture
  - \* Note: Slides 20 & 23 (T, S) versus 21 (R)
  - \* Read about them in Eberly 2<sup>o</sup>, Angel 3<sup>o</sup>
  - \* Special case: barycentric coordinates



## Terminology

- **Cumulative Transformation Matrices (CTM): Translation, Rotation, Scaling**
- **Some Basic Analytic Geometry and Linear Algebra for CG**
  - \* **Vector space (VS)** – set of vectors: addition, scalar multiplication; VS axioms
  - \* **Affine space (AS)** – set of points with associated VS: vector difference, point-vector addition; AS axioms
  - \* **Linear subspace** – nonempty subset  $S$  of  $VS (V, +, \cdot)$  closed under  $+$  and  $\cdot$
  - \* **Affine subspace** – nonempty subset  $S$  of  $VS (V, +, \cdot)$  such that closure  $S'$  of  $S$  under point subtraction is a linear subspace of  $V$
  - \* **Dot product** – scalar-valued inner product  $\langle \mathbf{u}, \mathbf{v} \rangle = \mathbf{u} \cdot \mathbf{v} = u_1v_1 + u_2v_2 + \dots + u_nv_n$
  - \* **Orthogonality** – property of vectors  $\mathbf{u}, \mathbf{v}$  that  $\mathbf{u} \cdot \mathbf{v} = 0$
  - \* **Orthonormality** – basis containing pairwise-orthogonal unit vectors
  - \* **Length (Euclidean norm)** –  $\|\mathbf{v}\| = \sqrt{\mathbf{v} \cdot \mathbf{v}}$
  - \* **Rigid body transformation** – one that preserves distance between points
  - \* **Homogeneous coordinates** (esp. barycentric coordinates) – allow affine, projective transformations; “4-D” space for 3-D CG

