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### Ray Tracing, Part 1 of 2: Intersections, Ray Trees & Recursion

### William H. Hsu Department of Computing and Information Sciences, KSU

KSOL course pages: <a href="http://bit.ly/hGvXIH">http://bit.ly/eVizrE</a>
Public mirror web site: <a href="http://www.kddresearch.org/Courses/CIS636">http://www.kddresearch.org/Courses/CIS636</a>
Instructor home page: <a href="http://www.cis.ksu.edu/~bhsu">http://www.cis.ksu.edu/~bhsu</a>

#### Readings:

Last class: §5.3, Eberly 2e – see <a href="http://bit.ly/ieUq45">http://bit.ly/ieUq45</a>; CGA Handout Today: Chapter 14, Eberly 2e

Next class: Ray Tracing Handout

Reference – Wikipedia, Ray Tracing: <a href="http://bit.ly/dV7INm">http://bit.ly/dV7INm</a> Reference – ACM Ray Tracing News: <a href="http://bit.ly/fqyZNQ">http://bit.ly/fqyZNQ</a>



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#### Lecture Outline

- Reading for Last Class: §5.3, Eberly 2e; CGA Handout
- Reading for Today: Chapter 14, Eberly 2e
- Reading for Next Class: Ray Tracing Handout
- Last Time: Animation Part 3 of 3 Inverse Kinematics
  - \* FK vs. IK
  - \* IK
    - > Autonomous agents vs. hand-animated movement
    - > Analytical vs. iterative solutions
  - \* Rag doll physics, rigid-body dynamics, physically-based models
- End of Material on: Particle Systems, Collisions, CGA, PBM
- Today: Ray Tracing, Part 1 of 2
  - \* Vectors: Light/shadow (L), Reflected (R), Transmitted/refracted (T)
  - \* Basic recursive ray tracing: ray trees
- Next Class: Ray Tracing Lab





#### Where We Are

21	Lab 4a: Animation Basics	Flash animation handout
22	Animation 2: Rotations; Dynamics, Kinematics	Chapter 17, esp. §17.1 - 17.2
23	Demos 4: Modeling & Simulation; Rotations	Chapter 101, 132, §17.3 – 17.5
24	Collisions 1: axes, OBBs, Lab 4b	§2.4.3, 8.1, GL handout
25	Spatial Sorting: Binary Space Partitioning	Chapter 6, esp. §6.1
26	Demos 5: More CGA; Picking; HW/Exam	Chapter 72; § 8.4
27	Lab 5a: Interaction Handling	§ 8.3 - 8.4; 4.2, 5.0, 5.6, 9.1
28	Collisions 2: Dynamic, Particle Systems	§ 9.1, particle system handout
	Exam 2 review; Hour Exam 2 (evening)	Chapters 5 - 6, 72 - 8, 12, 17
29	Lab 5b: Particle Systems	Particle system handout
30	Animation 3: Control & IK	§ 5.3, CGA handout
31	Ray Tracing 1: intersections, ray trees	Chapter 14
32	Lab 6a: Ray Tracing Basics with POV-Ray	RT handout
33	Ray Tracing 2: advanced topic survey	Chapter 15, RT handout
34	Visualization 1: Data (Quantities & Evidence)	Tufte handout (1)
35	Lab 6b: More Ray Tracing	RT handout
36	Visualization 2: Objects	Tufte handout (2 & 4)
37	Color Basics; Term Project Prep	Color handout
38	Lab 7: Fractals & Terrain Generation	Fractals/Terrain handout
39	Visualization 3: Processes; Final Review 1	Tufte handout (3)
40	Project presentations 1; Final Review 2	-
41	Project presentations 2	_

Lightly-shaded entries denote the due date of a written problem set; heavily-shaded entries, that of a machine problem (programming assignment); blue-shaded entries, that of a paper review; and the green-shaded entry, that of the term project.

Green, blue and red letters denote exam review, exam, and exam solution review dates.

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#### Acknowledgements: Inverse Kinematics, Ray Tracing



David C. Brogan

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Renata Melamud Ph.D. Candidate **Mechanical Engineering Department** 

**Stanford University** 







**Dave Shreiner & Brad Grantham** 

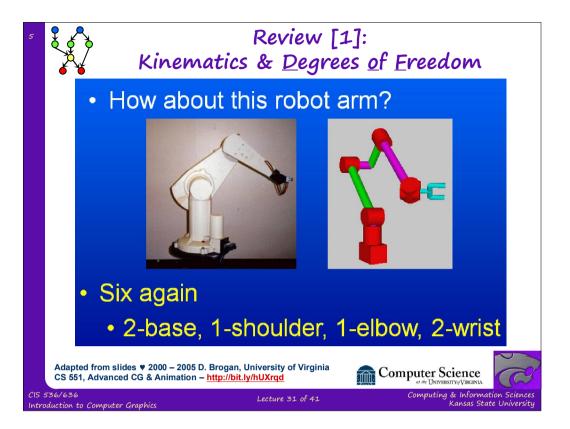
Adjunct Professor & Adjunct Lecturer, Santa Clara University ARM Holdings, plc http://www.plunk.org/~shreiner/ http://www.plunk.org/~grantham/

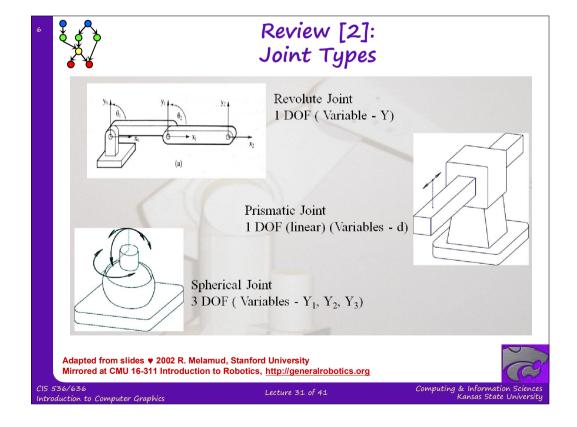


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#### Forward Kinematics: Joint Angles to Bone Coordinates

We will use the vector:

$$\mathbf{\Phi} = \begin{bmatrix} \phi_1 & \phi_2 & \dots & \phi_M \end{bmatrix}$$

to represent the array of M joint DOF values

We will also use the vector:

$$\mathbf{e} = \begin{bmatrix} e_1 & e_2 & \dots & e_N \end{bmatrix}$$

to represent an array of N DOFs that describe the end effector in world space. For example, if our end effector is a full joint with orientation, e would contain 6 DOFs: 3 translations and 3 rotations. If we were only concerned with the end effector position, e would just contain the 3 translations.

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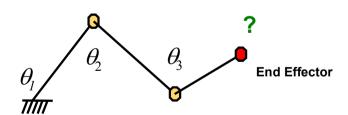
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#### Review [3]: Forward Kinematics



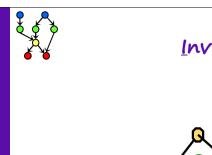
**Base** 

$$\vec{\mathbf{x}} = \mathbf{f}(\vec{\mathbf{\theta}})$$
  $\mathbf{e} = f(\mathbf{\Phi})$ 

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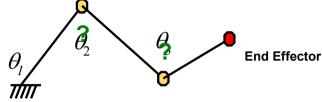




Base

### Review [4]: Inverse Kinematics

For more on characters & IK, see: Advanced Topics in CG Lecture 05



$$\vec{\mathbf{\theta}} = \mathbf{f}^{-1}(\vec{\mathbf{x}}) \qquad \mathbf{\Phi} = f^{-1}(\mathbf{e})$$

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### Inverse <u>K</u>inematics:

- IK is challenging because while f() may be relatively easy to evaluate, f-1() usually isn't
- For one thing, there may be several possible solutions for Φ, or there may be no solutions
- Even if there is a solution, it may require complex and expensive computations to find it
- As a result, there are many different approaches to solving IK problems

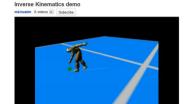
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#### Review [5]: Inverse Kinematics Demos



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PUMA robot playing golf



© 2011 K. lyer http://youtu.be/YvRBWIRAPsE



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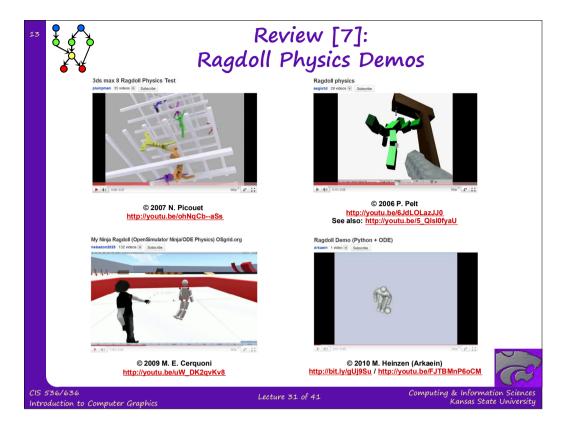
#### Review [6]: Ragdoll Physics

- Type of Procedural Animation
  - \* Automatically generates CGA directives (rotations)
  - \* Based on simulation
  - \* Rigid-body dynamics
- Articulated Figure
  - \* Gravity
  - \* No autonomous movement
  - \* Used for inert body
    - Usually: character death (car impact, falling body, etc.)
    - Less often: unconscious, paralyzed character
- Collisions with Multiple Bodies
  - \* Inter-character
  - \* Character-object



Falling Bodies © 1997 - 2001 Animats

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# Review [8]: <a href="mailto:Physically-Based Modeling">Physically-Based Modeling</a> (PBM)

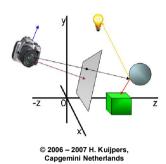
- Particle Dynamics
  - \* Emitters
    - > 0-D (points), 1-D (lines), 2-D (planes, discs, cross-sections)
    - > e.g., fireworks (0-D); fountains (0/1/2-D); smokestacks, jets (2-D)
  - \* Simulation: birth-death process, functions of particle age/trajectory
- Rigid-Body Dynamics
  - \* Constrained systems of connected parts
  - \* Examples: falling rocks, colliding vehicles, rag dolls
- Articulated Figures: Primarily IK
- More References
  - \* ACM, Intro to Physically-Based Modeling: http://bit.ly/hhQvXd
  - \* Wikipedia, Physics Engine: http://bit.ly/h4PIRt
  - \* Wikipedia, N-Body Problem: http://bit.ly/1ayWwe
- PBM System: nVidia (Ageia) PhysX: <a href="http://bit.ly/cp7bnA">http://bit.ly/cp7bnA</a>



Everyone dies

### Ray Tracing [1]: Overview

- What is it?
- Why use it?
- Basics
- Advanced topics
- References



http://bit.lv/erkKrC

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# Ray Tracing [2]: Why Use It?

- Simulate rays of light
- Produces natural lighting effects
  - Reflection
- Depth of Field
- Refraction
- Motion Blur
- Soft Shadows
- Caustics
- Hard to simulate effects with rasterization techniques (OpenGL)
- Rasterizers require many passes
- Ray-tracing easier to implement

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### Ray Tracing [3]: Who Uses It?

- Entertainment (Movies, Commercials)
- Games pre-production
- Simulation

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#### Ray Tracing [4]: Brief History

- Decartes, 1637 A.D. analysis of rainbow
- Arthur Appel, 1968 used for lighting 3D models
- Turner Whitted, 1980 "An Improved Illumination Model for Shaded Display" really kicked everyone off.
- 1980-now Lots of research

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# Ray Tracing [5]: Basic Operations

- Generating Rays
- Intersecting Rays with Scene
- Lighting
- Shadowing
- Reflections

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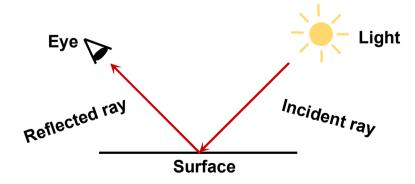
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#### Ray Tracing [6]: Basic Idea

• Simulate light rays from light source to eye

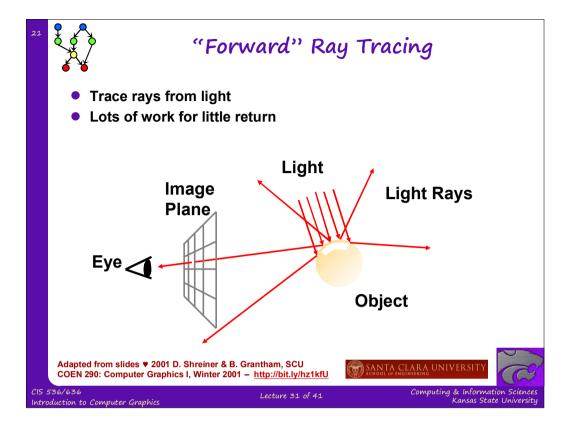


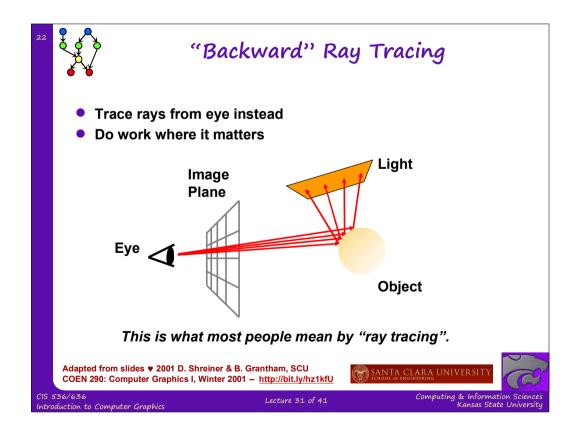
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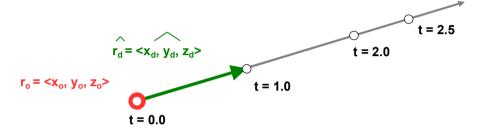




#### Ray: Parametric Form

Ray expressed as function of a single parameter ("t")

$$\langle x, y, z \rangle = \langle x_o, y_o, z_o \rangle + t * \langle x_d, y_d, z_d \rangle$$
  
 $\langle x, y, z \rangle = r_o + tr_d$ 



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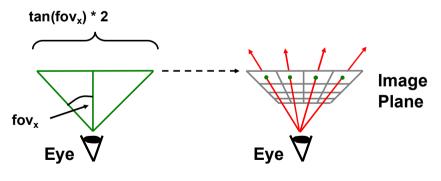
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#### Generating Rays [1]

• Trace one ray for each pixel (u, v) in image plane



(Looking down from the top)

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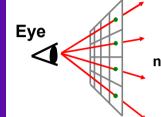


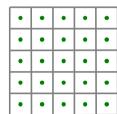
#### Generating Rays [2]

Trace one ray for each pixel (u, v) in image plane

(Looking from the side)

m





 $(tan(fov_x)^* 2) / m$ 

 $(tan(fov_v)^* 2) / n$ 

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#### Generating Rays [3]

• Trace one ray for each pixel (u, v) in image plane

```
renderImage(){
   for each pixel i, j in the image
      ray.setStart(0, 0, 0);
                                  // r<sub>o</sub>
      ray.setDir ((.5 + i) * tan(fov_x) * 2 / m,
                        (.5 + j) * tan(fov_v) * 2 / n,
                        1.0);
                                         // r_d
      ray.normalize();
      image[i][j] = rayTrace(ray);
}
```

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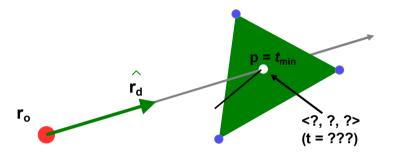
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### Ray/Triangle Intersection [1]: Intersection Test Revisited

- Want to know: at what point p does ray intersect triangle?
- Compute lighting, reflected rays, shadowing from that point



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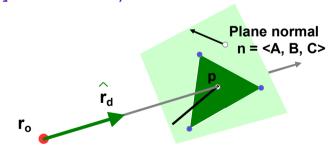
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# Ray/Triangle Intersection [2]: Ray/Plane Intersection Point p

Step 1 : Intersect with plane

$$(Ax + By + Cz + D = 0)$$

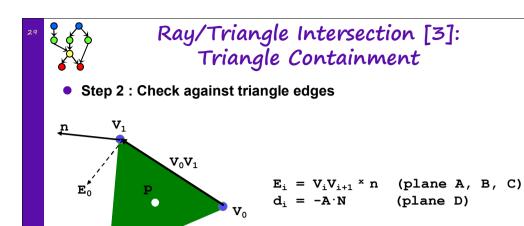


$$t_{min} = p = -(n \oplus r_o + D) / (n \cdot r_d)$$

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Plug p into  $(p \bullet E_i + d_i)$  for each edge if signs are all positive or negative, point is inside triangle!

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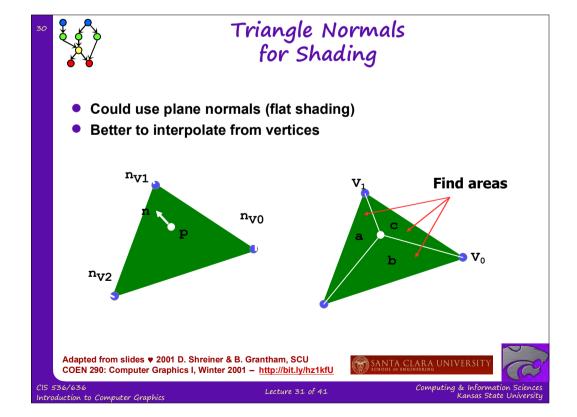




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#### Finding Intersections

• Check all triangles, keep closest intersection  $t_{min}$ 

```
hitObject(ray) {
   for each triangle in scene
     does ray intersect triangle?
     if(intersected and was closer)
        save that intersection
   if(intersected)
     return intersection point and normal
}
```

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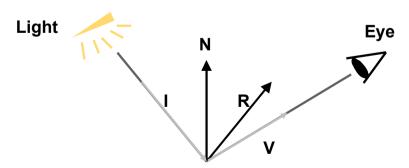
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#### Lighting [1]: General Notation Review

- We'll use triangles for lights
- Can build complex shapes from triangles
- Some lighting terms



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#### Lighting [2]: Modified Phong Illumination

- Use modified Phong lighting
  - \* similar to OpenGL
  - \* simulates rough and shiny surfaces

for each light 
$$\begin{split} \mathbf{I}_{\mathrm{n}} &= \mathbf{I}_{\mathrm{ambient}} \mathbf{K}_{\mathrm{ambient}} \ + \\ &\quad \mathbf{I}_{\mathrm{diffuse}} \mathbf{K}_{\mathrm{diffuse}} \ (\mathbf{L} \cdot \mathbf{N}) \ + \\ &\quad \mathbf{I}_{\mathrm{specular}} \mathbf{K}_{\mathrm{specular}} \ (\mathbf{R} \cdot \mathbf{V})^{\mathrm{n}} \end{split}$$

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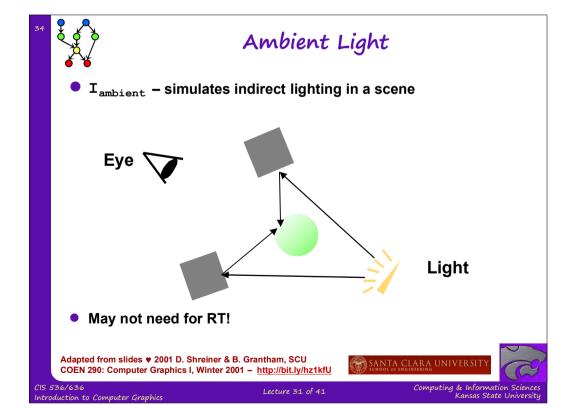


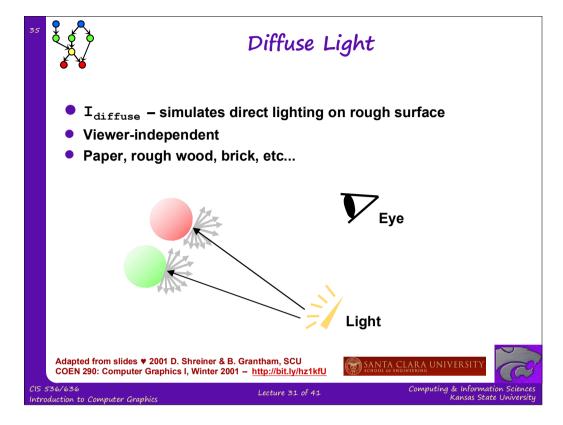


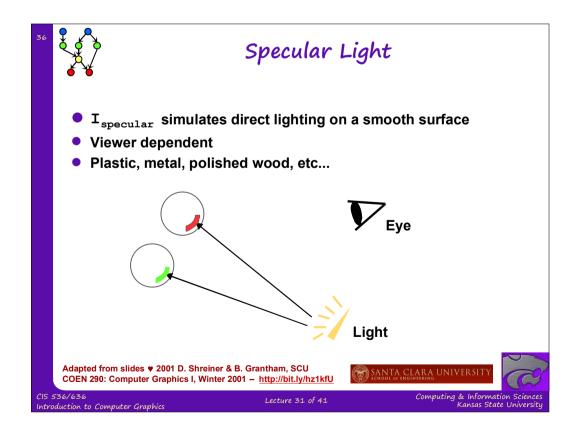
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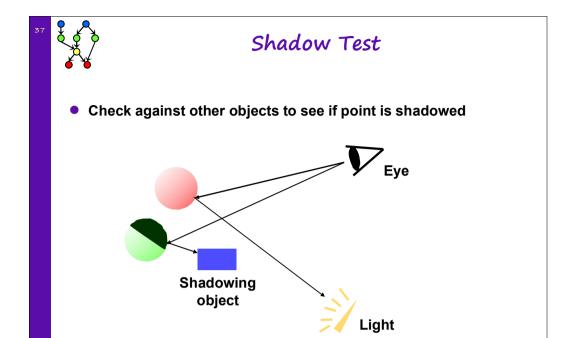
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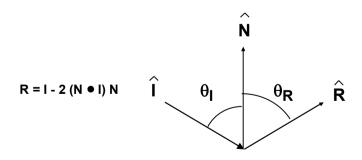
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• Angle of incidence = angle of reflection  $(\theta_I = \theta_R)$ 

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I, R, N lie in the same plane



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# Putting It All Together [1]: Recursive Calculation & Ray Tree

Recursive ray evaluation

```
rayTrace(ray) {
    hitObject(ray, p, n, triangle);
    color = object color;
    if(object is light)
        return(color);
    else
        return(lighting(p, n, color));
}
```

S R T

Ray tree
© 2000 N. Patrikalakis, MIT
http://bit.ly/fjcGGk

I = Incident ray
S = light Source vector (aka L)
R = reflected ray
T = transmitted ray

• Generates ray tree shown at right

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### Putting It All Together [2]: Applying Lighting

Calculating surface color

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# Putting It All Together [3]: Main Program

```
main() {
    triangles = readTriangles();
    image = renderImage(triangles);
    writeImage(image);
}
```

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### Good Start: What next?

- Lighting, Shadows, Reflection are enough to make some compelling images
- Want better lighting and objects
- Need more speed

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#### More Quality, More Speed

- Better Lighting + Forward Tracing
- Texture Mapping
- Modeling Techniques
- Distributed Ray Tracing: Techniques
  - \* Motion Blur
  - \* Depth of Field
  - \* Blurry Reflection/Refraction
  - \* Wikipedia, Distributed Ray Tracing: http://bit.ly/ihyVUs
- Improving Image Quality
- Acceleration Techniques

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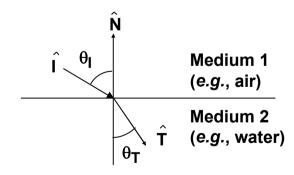
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#### Refraction [1]: Snell's Law

- Keep track of medium (air, glass, etc)
- Need index of refraction (η )
- Need solid objects

$$\frac{\sin(\theta_{\text{I}})}{\sin(\theta_{\text{T}})} = \frac{\eta_1}{\eta_2}$$



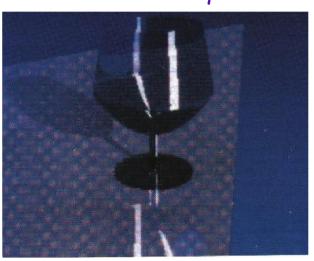
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#### Refraction [2]: Example



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# Improved Light Model: Cook-Torrance

- Cook-Torrance model
  - \* Based on a microfacet model
  - \* Wikipedia: http://bit.ly/hX3U30
- Metals have different color at angle
- Oblique reflections leak around corners

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### Using "Forward" Ray Tracing [1]: Lensed Caustics for Indirect Lighting

- Backward tracing doesn't handle indirect lighting too well
- To get caustics, trace forward, store results in texture map

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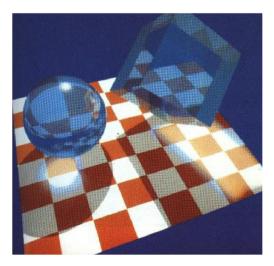
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# Using "Forward" Ray Tracing [2]: Example



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# Texture Mapping & Ray Tracing [1]: Applying Surface Detail

- Using texture maps
  - \* Add surface detail
  - \* Think of it like texturing in OpenGL
- Diffuse, specular colors
- Shininess value
- Bump map
- Transparency value

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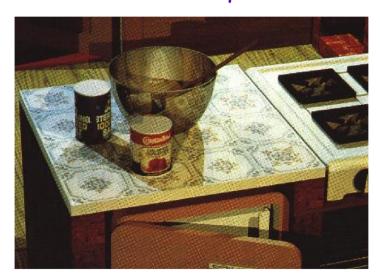
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#### Texture Mapping & Ray Tracing [2]: Example



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#### Parametric Surfaces

- More expressive than triangle
- Intersection is probably slower
- u and v on surface can be used as texture s, t

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#### Constructive Solid Geometry

- Union, Subtraction, Intersection of solid objects
- Have to keep track of intersections



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#### Hierarchical Transformation

- Scene made of parts
- Each part made of smaller parts
- Each smaller part has transformation linking it to larger part
- Transformation can change over time: animation (CGA)

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### Distributed Ray Tracing [1]: Basic Idea

- Average multiple rays instead of just one ray
- Use for both shadows, reflections, transmission (refraction)
- Use for motion blur
- Use for depth of field

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# Distributed Ray Tracing [2]: Example





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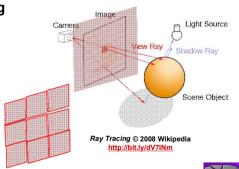
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# Distributed Ray Tracing [3]: Supersampling

- One ray is not enough (jaggies)
- Can use multiple rays per pixel supersampling
- Can use a few samples, continue if they're very different adaptive supersampling

Texture interpolation & filtering



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#### Acceleration!

- 1280x1024 image with 10 rays/pixel
- 1000 objects (triangle, CSG, NURBS)
- 3 levels recursion

39321600000 intersection tests
100000 tests/second -> 109 days!

Must use an acceleration method!

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#### Bounding Volumes

Use simple shape for quick test, keep BV hierarchy

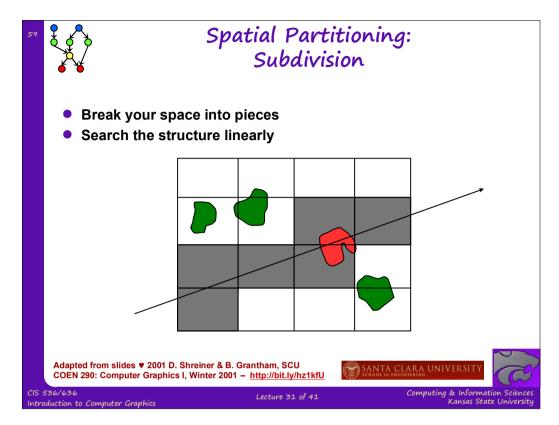




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#### Parallelism

- Can always throw more processors at it
- Parallel computing model
  - \* Multiple processes or threads
  - \* Data parallel: separate pixel for each

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#### Really Advanced Stuff

- **Error analysis**
- Hybrid radiosity/ray-tracing
- **Metropolis Light Transport**
- Memory-Coherent Ray-tracing

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- Computer Graphics: Image Synthesis, Joy et al., 1988, 0-8186-8854-4
- SIGGRAPH Proceedings (All)

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#### Summary

- Reading for Last Class: §5.3, Eberly 2e; CGA Handout
- Reading for Today: Chapter 14, Eberly 2e
- Reading for Next Class: Ray Tracing Handout
- Last Class: Particle Systems, Collisions, IK/CGA Concluded
  - \* Dynamics vs. kinematics, forward vs. inverse revisited
  - \* IK: autonomous vs. hand-animated; solution approaches
  - \* Rag doll physics, rigid-body dynamics, physically-based models
- Today: Ray Tracing, Part 1 of 2
  - \* Vectors
    - Light (L): to point light sources (or shadows)
    - > Reflected (R): from object surface
    - > Transmitted or Transparency (T): through transparent object
  - \*  $t_{min}$ : distance to intersection between ray and bounding volume
  - **★** Ways to find t<sub>min</sub>
  - \* Basic recursive ray tracing: ray trees



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#### Terminology

- Joints: Parts of Robot / Articulated Figure That Turn, Slide
- Effectors: Parts of Robot / Articulated Figure That Act (e.g., Hand, Foot)
- Bones: Effectors, Other Parts That Rotate about, Slide through Joints
- Procedural Animation: Automatic Generation of Motion via Simulation
- Ray Tracing aka Ray Casting
  - \* Given: screen with pixels (u, v)
  - \* Find intersection  $t_{min}(u, v)$  of rays through each (u, v) with scene
  - \* Calculate vectors emanating from world-space coordinate of  $t_{\min}$ 
    - Light (L): to point light sources (or shadows)
    - > Reflected (R): from object surface
    - > <u>Transmitted</u> or <u>Transparency</u> (T): through transparent object
  - \* Recursive RT: call raytracer for each intersection found
    - > Builds ray tree rooted at intersection point
    - > Base cases: unobstructed vector to light; depth limit
  - \* Parallel RT: use multiple threads/processes for each (u, v) or t

